Pupillage Policy
3 Stone Buildings
Lincoln's Inn
London WC2A 3XL

### 1. GENERAL

- 1.1 We have developed this policy in an effort to give the best training to pupils. In its drafting we have carefully considered policies published by other chambers on the internet and have adopted some that seem to us to be excellent with amendments of greater or lesser substance, and we gratefully the hard work put into this effort by other sets, by the Bar Council and by the Bar Standards Board. We are very proud of our chambers. We have determined how this Policy will operate having regard to the size of our chambers, the premises and other resources available to us, our commitment to the continued development of equality and diversity at the Bar and to our equally strong commitment to each other to provide the best possible legal services to our clients and to the Court. We have also relied on our extensive experience of successfully enabling 3<sup>rd</sup> 6 month pupils or "probationary tenants" to develop their skills as barristers and make the very successful transition into extremely successful practising barristers.
- 1.2 This Policy does not apply to the Recruitment of Probationary Tenants. It governs the recruitment of pupils and the administration of mini-pupillage, and pupillage in the Chambers of John McDonnell K.C. at 3 Stone Buildings, Lincoln's Inn, London.
- 1.3 There shall be a pupillage committee which is a sub-committee of the Chambers' Management Committee. It shall include the Senior Clerk and at least 4 other members of Chambers nominated by the Head of Chambers in consultation with the Management Committee. Due account will be taken of the need to maintain a diverse committee.
- 1.4 The composition of the pupillage committee will vary from time to time.
- 1.5 The pupillage committee will use its best endeavours to comply with, and to ensure that Chambers complies with, the relevant provisions of the Code of Conduct and the Equality and Diversity Code for the Bar.
- 1.6. A copy of this Policy together with the pupil's induction documents are given to pupils on commencement of pupillage in Chambers. Time will be taken to talk the pupil through the Bar Standards Board (BSB) Pupillage Handbook.

# 2. AVAILABILITY

# Mini pupillages

- 2.1 Non-assessed mini-pupillages may be offered.
- 2.2 There will be a Mini- Pupillage Policy Statement.

### **Pupillages**

- 2.3 Up to two twelve-month pupillages will be offered, if appropriate, in each pupillage year. The nature and scope of the training that a pupil will receive is set out in the Training Policy in the Appendices. There is no guarantee of a tenancy in Chambers at the end of pupillage. All pupils will be considered on their merits for any tenancy.
- 2.4 Before the Pupillage Registration form can be signed by the Head of Chambers the following documents must be provided to the Senior Clerk:
  - i) The pupil's original copy of their initial degree if not a Law degree
  - ii) The pupil's original copy of their Law degree or conversion Diploma
  - iii) The pupil's original copy of their BPTC qualification
  - iv) If the Pupil has been called, the original copy of their Call certificate
  - v) If the Pupil has not been called, the original copy of their Call certificate within 14 days of their Call date
  - vi) Proof of immigration status
  - vii) Proof of any appropriate waivers
- 2.5. The pupillage year usually runs from 1 October to 30 September (the "Pupillage Year").
  A Probationary Tenancy will usually run from 1<sup>st</sup> October to 30 March the following calendar year. There is no guarantee of a tenancy at the end of a probationary tenancy.
- 2.6. Pupillage is divided into two parts:
  - 2.6.1. non-practising period of six months

During this period, pupils may not accept any client instructions, except for noting briefs (taking notes during a trial or other hearing) where they have the permission of their pupil supervisor or the Head of Chambers. Pupils will normally have been Called to the Bar either before commencing the non-practising period of pupillage or during the early part of that period.

At the successful conclusion of the non-practising period of pupillage, the pupil supervisor must complete the non-practising period completion form. Pupil supervisors must not confirm that requirements have been met if the pupil has not reached the required standards.

# 2.6.2. A practising period of six months

Provided that the pupil has completed the compulsory training specified by the BSB, the BSB will then grant a Provisional Practising Certificate for a practising period of six months.

The entire practising period must be undertaken after Call; this means that pupils must be Called to the Bar before they can undertake their second six. A pupil is entitled to supply legal services and exercise rights of audience as a pupil barrister during the practising period, provided that they have the permission of their pupil supervisor or the Head of Chambers and have been issued with a Provisional Practising Certificate by the BSB.

Provided that the pupil has satisfactorily completed the practising period, which includes completing the compulsory training specified by the BSB, the BSB will confirm eligibility for a full Practising Certificate, and the pupil may apply for a full Practising Certificate in order to continue practising.

A practising pupil must register with the Information Commissioner's Office. It is a criminal offence not to do so where required.

2.7. Pupils must warrant that their nationality or immigration status allows them to undertake the pupillage and they must undertake to notify Chambers immediately if at any time they cease to be eligible to undertake the pupillage. Further details of documents required are set out in the draft Pupillage Agreement at Appendix [] of this Policy.

# **Third Six Pupillages**

3. If any such pupillage becomes available, it will be advertised on our website and in accordance with the requirements of the Code of Conduct.

### 4. FINANCIAL ASSISTANCE

4.1. An award will be made in each Pupillage Year. The amount of the award will be advertised and provided in a letter of offer. Part of the award may be drawn down during the vocational stage of training whilst undertaking the bar course. Chambers retains a discretion as to how much can be drawn down in advance. Pupils retain any earnings received during their practising second six without any deductions for Chambers' contributions.

### ADVERTISEMENT AND PUBLICATION

- 5.1. Chambers publicises pupillage widely. All pupillage vacancies will be advertised on the Pupillage Gateway and advertisements are placed in other places and on other media. Chambers ensures that vacancies notified to the BSB, and other organisations are kept up to date.
- 5.2 The pupillage committee will supply the BSB with such information as it requires.

# 6. PUPILLAGE SELECTION PROCEDURE

- 6.1 Selection criteria are set out in Chambers' Recruitment Policy.
- 6.2 These may be varied from time to time but any changes will be properly notified.
- 6.3 The selection procedure is set out at in the Appendices and the Recruitment Policy.
- 6.4 All pupils are selected using the same procedure. Records of all applicants and documentation relating to selection decisions are kept by the pupillage committee for a period of up to six years after the completion of the recruitment process.
- 6.5. Upon receipt of all applications the pupillage committee will draw up a list of candidates who will be invited to a first-round interview in Chambers. Candidates will be assessed using the first marking scheme set out in Appendix One. Candidates progressing successfully to interview will be those with the highest total scores.

- 6.6 The Chair of the Pupillage Committee will perform a check against the Equality and Diversity Monitoring Questionnaires, when they come in, that any candidates requesting adjustments have been sent, and have returned, a copy of the Reasonable Adjustments Form.
- 6.7 Upon receipt of the returned Reasonable Adjustments Form, the Pupillage Administrator will forward it to the Equality and Diversity Officers.
- 6.8 The Equality and Diversity Officers will then consider the request for adjustments and, to the extent that they consider those adjustments to be reasonable, ensure that they are put in place in time.
- 6.9 If necessary, the Equality and Diversity Officers will consult with the Management Committee, as to the feasibility and financing of implementation of reasonable adjustments.
- 6.10. First-round interviews will last up to 15 minutes. Candidates will be assessed on their interview performance by reference to the second marking scheme set out in Appendix One. Successful candidates will then be invited to undertake a one day assessed mini-pupillage in Chambers, which will include a second-round interview. Candidates progressing successfully to this second stage will be those with the highest total combined scores.
- 6.11. Prior to attending the assessed mini-pupillage, candidates will be provided with instructions to provide a written advice. This should be sent to Chambers by email by the deadline and will be discussed further during the second round interview.
- 6.12. The second-round interview will last between 45 to 60 minutes. On the day of the assessed mini-pupillage candidates will be provided with details to enable them either to prepare for a mock conference with a solicitor and lay client (where the candidate will play the role of the barrister from whom the client is seeking advice); or to prepare for a hearing on behalf of the applicant (typically an application for interim relief) before a Master or District Judge where the candidate will play the role of counsel for the applicant. If the latter exercise takes place a senior member of the Pupillae Committee will take the role of the judge. The conference papers, or mock brief, will normally be provided to candidates approximately two hours in advance of the interview time. All candidates will be provided with the same papers and a similar opportunity to prepare. The interview will also include discussion of professional ethics issues of the type that a barrister might typically face from time to time in the course of their professional practice. There will also be further

- questions about the commercial realities of being a barrister. The contents of the details provided to the candidate and the questions asked are confidential and will not be divulged to any third party seeking pupillage in Chambers or any third party offering coaching services.
- 6.13. Second-round interviews will normally take place during the afternoon of the candidate's mini-pupillage exceptionally it may be necessary for this to be on a public holiday or at weekends although reasonable adjustments will be made to take account of religious observance.
- 6.14. Candidates will be assessed using the third marking scheme set out in Appendix One.

  After the conclusion of all the interviews, the pupillage committee will meet to decide, by reference to the combined total scores awarded to each candidate in the first, second and third marking schemes, which (if any) of the candidates it wishes to recommend to the Management Committee should be offered a pupillage.
- 6.15. Where possible, the interviewing panel for all first and second-round interviews will comprise all members of the pupillage committee and will be chaired by a senior member of the committee.
- 6.16. In the event that insufficient members of the pupillage committee are available to attend either a first or second-round interview, the vacancy or vacancies may be filled by coopting a member or members of Chambers so that each candidate will be interviewed by at least three members of Chambers. Selection of a suitable member or members will be carried out by the chair of the pupillage committee in consultation with the other members of the committee so far as practicable and as the chair shall see fit. In the event that the most junior member of the committee is unavailable, the replacement panel member will, if possible, be drawn from the most junior quarter of members of Chambers.
- 6.17. The Pupillage Committee will report to the Management Committee who will review the scores of the candidates recommended by the Pupillage Committee and the highest scoring runners up and determine having regard to the criteria set out in the Recruitment Policy and those results to whom a Pupillage should be offered.
- 6.18 Candidates will normally be notified of the outcome of their applications either by e-mail or telephone.
- 6.19. The offer of a pupillage and its acceptance give rise to a legally binding contract for education and training. That offer is made by the Head of Chambers on behalf of all the

Members of Chambers. Prior to the commencement of pupillage, Chambers and the pupil will enter into a written pupillage agreement in accordance with the requirement of the Bar Standards Board.

- 6.20. Chambers has a strong commitment to increasing diversity at the Bar and in particular the Chancery Bar. In the event of the results of the interview processes yielding a tie the Pupillage Committee will allot such further points as may be necessary to secure that a candidate with Protected Characteristics is recommended to the Management Committee and Head of Chambers.
- 6.21 Chambers will at all stages take account of the context of any academic and other achievement. We will adopt contextualised recruitment techniques, having regard to the criteria used by Rare Recruitment Limited.
- 6.22. Candidates may request reasonable travel expenses if they are not in a position to fund these themselves. Payment of these expenses are solely at Chambers' discretion.
- 6.23. Chambers reserves the right to modify its selection procedures (and this policy) at any time and in its absolute discretion.

# 7. ALLOCATION OF PUPILS

- 7.1. Save in exceptional circumstances, a pupil will sit with four different pupil supervisors, each for a period of three months.
- 7.2. The function of allocating the pupils will be discharged by the pupillage committee as a whole, taking into account all relevant circumstances (including in particular the need to ensure that the burden of taking pupils is equally shared by those members eligible to take them). Where possible, the pupil will be told towards the middle of their seat with any given pupil supervisor who their next pupil supervisor will be.

### 8. ROLE AND DUTIES OF PUPILS

8.1. The obligations of a pupil are set out in the Chambers' Pupillage Agreement. This document expands and supplements that Agreement. In the event of a conflict that Agreement will prevail. In addition we have prepared a Pupillage Guide to which reference must also be made. This gives a more detailed indication of what can be expected by Chambers and pupils on a daily basis. The Chambers Disciplinary Policy applies to pupils.

- 8.2 Before starting pupillage the pupil must provide the documents and evidence listed at paragraph 2.4.
- 8.3 Chambers' core business hours are 9 a.m. to 6.00 pm Monday to Friday (inclusive). There are no fixed training hours, but in order to maximise the educational and training benefits that pupillage affords, pupils are expected to be available for a minimum of 40 hours each week. Pupillage is a period of training for independent private practice at the self-employed Bar there are no minimum or maximum hours for such practice.
- 8.4. Chambers expects that pupils will spend at least eight hours a day undertaking education and training, a majority of which will be during Chambers' core business hours, save for periods when pupils are unable to work due to illness, bereavement, injury, vacation, maternity, or paternity leave.
- 8.5. Chambers is of the view that to obtain the most from pupillage a pupil should shadow their supervisor as much as possible. It is though accepted that there has been an increase in flexible working across the bar and that some Members do not attend Chambers every day and that this may occur in the case of a Pupil Supervisor. It is, however, the preference of the Head of Chambers and the Management Committee that it will be usual for a pupil to attend chambers every day and that most if not all pupillage is undertaken in chambers save for court hearings or conferences.
- 8.6 On most working days It is expected that the Pupil will be with his or her Supervisor or another member of chambers as arranged by his or her pupil Supervisor either at Court or considering case material in Chambers. Pupils should work together with their supervisors to ensure that they have met or spent time working with every member of chambers. When not in the company of their pupil Supervisor or other member of chambers, pupils should generally be in chambers / at work during the hours of 9.00 to 6 p.m. carrying out work allocated to them by their Pupil Supervisor.
- 8.7 Flexible working hours can however always be arranged if there is a need and account can be taken of personal circumstances such as child or other caring responsibilities, medical issues, disability and so on. Pupils should speak to their Supervisor, to the Head or Deputy Head of the Pupillage Committee or to the Senior Clerk who will provide support to devise a suitable schedule. We can and do take account of emergencies or more predictable events like school holidays. Reference should be made to the Chambers. Flexible Working Policy.

- 8.8. Pupils will be given access to the Chambers. intranet and other facilities including the Chambers' electronic library resources to facilitate remote working.
- 8.9 Pupils may on occasion be invited to attend evening or weekend events relevant to their educational and training needs. Attendance at such events is not compulsory but is encouraged.

# 8.10. The pupil's responsibilities are:

- 8.10.1. At all times to adhere to the Core Duties and Conduct Rules, as set out in the BSB Handbook. Pupils should familiarise themselves with the BSB Handbook and Code of Conduct in the non-practising period of pupillage and ensure that they are prepared for dealing with common ethical dilemmas that may arise in the practising period.
- 8.10.2. To preserve the confidentiality of every client's affair.
- 8.10.3. To ensure that their pupillage is registered with the <u>BSB</u> on the relevant forms. Registration forms should be submitted two weeks prior to commencement of pupillage. Pupils must provide the name of the designated pupil supervisor and the commencement date. Registration will be confirmed by email.
- 8.10.4. To notify the BSB of any material changes in pupillage arrangements, e.g. change in pupil supervisor, change in start, or end dates of pupillage.
- 8.10.5. To attend court when their pupil supervisor attends court and to take care to ensure that they arrive at the appropriate court at the appropriate time. The pupil will not play any active role in court proceedings, nor unless invited to do so express any opinion before or after that hearing to lay or professional clients. Before attending court, the pupil will be required to read the papers and familiarise themselves with the issues. Whilst at court, the pupil should observe the proceedings and take detailed notes, which may be referred to by the pupil supervisor.
- 8.10.7 to attend conferences with their pupil supervisor attends court. The pupil will not play any active role in any conference unless requested to do so. Before the conference the pupil will be required to read the papers and familiarise themselves with the issues. During the conference the pupil should observe the proceedings and take detailed notes, which may be referred to by the pupil supervisor.

- 8.10.8. Save when agreed to the contrary to attend Chambers daily and in any event whenever their pupil supervisor is in Chambers and to carry out research and do paperwork given to them by the pupil supervisor. Pupils should, where possible, try to complete their work within the timescales set by the pupil supervisor. Pupils should not take papers or books out of Chambers without the prior permission of their pupil supervisor.
- 8.10.9. To do work for other members of Chambers when required to do so.
- 8.10.10. To attend Chambers' marketing events where appropriate and in particular the junior programme.
- 8.10.11 To keep all information gathered during pupillage confidential.
- 8.10.12 At all times to behave in a professional and businesslike manner
- 8.10.13 to comply with the Chambers Disciplinary Policy
- 8.11. Members of these Chambers provide legal services to clients for reward to the highest possible standards and the pupil must at all times act in a way that does not in any respect prejudice the delivery of such services.
- 8.12 Any other details of expectations of dress and other conduct will be communicated in writing.
- 8.13 Pupils will be given oral feedback from their pupil supervisors in respect of every piece of work undertaken for them. In addition, pupils will be given oral feedback from each of their four pupil supervisors on a monthly basis. At the end of each three-month seat the pupil supervisor will complete a review assessment which will be passed on to the next pupil supervisor. Further details are set out in the Training Programme in Appendix Four.
- 8.14. A pupil is likely to have their own work during the second six months. This work will have been allocated as a result of the work of the clerks in Chambers. Chambers and clients expect this work to be executed to the highest possible standards. A failure to do so will damage the reputation of the pupil and of Chambers.
- 8.15. Before accepting any work which is a reserved legal activity the pupil will provide a copy of his or her Provisional Practising Certificate to the Senior Clerk who will take a copy of it and retain it for Chambers' records.

- 8.16 As explained in the Pupillage Guide a pupil should not undertake work that they feel they are insufficiently experienced to accept. Chambers regards a frank and open request for further guidance as a positive indication of proper professional behaviour and something to be held to a pupil's credit, rather than against them.
- 8.17 Chambers does not guarantee a minimum amount of work in the second six, but if there is work for pupils to do, it will be allocated fairly between pupils (if there is more than one pupil) by one of the clerking team taking into account all relevant circumstances. The clerks will also consider whether the work would be more suitable for a junior tenant. From time-to-time secondment work may arise; this should be discussed with the Senior Clerk and if appropriate should be approved by the Management Committee. Given that the emphasis during pupillage is on training, and the pupillage offered in Chambers is fully funded, priority for secondments will be given to junior tenants. Practising pupils do not pay Chambers' rent or expenses. Chambers' Fair Work Distribution Policy will apply to pupils in the practising period of their pupillage.
- 8.18. As set out in the Pupillage Agreement practising pupils do not pay Chambers' expenses or Clerk's Fees on sums earned during their pupillage.
- 8.19 Where a pupil is asked to devil for a member of Chambers, that member of Chambers will negotiate payment of an appropriate proportion of the fee with one of the clerking team.
- 8.20. At the end of each six months of their pupillage the pupil must ensure that they have completed the relevant parts of the specialist checklists and that it has been signed by the relevant pupil supervisor. Although the BSB no longer requires the use of the checklists for pupillages starting on or after 1 September 2019, Chambers has chosen to continue using them. Further details are set out in the Training Policy in Appendix Four. Pupils must apply for a Confirmation of Full Qualification Letter and a Full Practising Certificate on satisfactory completion of pupillage.
- 8.21. Pupils should keep diaries or portfolios of all work undertaken and go through the specialist checklists at least every three months, filling in the relevant details of their experience and self-reflection. Keeping a work diary is also useful if a pupil applies for tenancy, because completion of all requirements can be demonstrated to have been met. It provides an accurate record of experience and self-reflection and demonstrates organisational abilities that will be useful in practice.

- 8.22. It is important that pupils begin a process of reflection on their own progress that should continue throughout practice, with a view to shaping their Continuing Professional Development (CPD) as a practising barrister. During the first three full years as a practising barrister, practitioners must comply with the CPD rules within the New Practitioners Programme. Once in practice for more than three full years, practitioners must then comply with rules within the Established Practitioners Programme (EPP).
- 8.23. At the end of the practising stage of pupillage, the pupil must ensure that their pupil supervisor signs the form in good time that declares satisfactory completion of the practising period of pupillage.
- 8.24 At the conclusion of each of the practising and non-practising stages of their pupillage the pupil will meet with the Chair of the Pupillage Committee and will provide feedback about the conduct of each stage.

### 9. RESPONSIBILITIES OF PUPIL SUPERVISORS

- 9.1. Each member of Chambers to whom a pupil is allocated:
  - 9.1.1. Must ensure that they are on any BSB register of approved pupil supervisors (if maintained). If not, they must inform the pupillage committee of the fact and take immediate steps to ensure that they are placed on such register.
  - 9.1.2. Must ensure that they have undertaken appropriate training (including refresher training) that meets the outcomes specified by the BSB. Training records are to be maintained by supervisors and recorded in their CPD records.
  - 9.1.3. Must be familiar with the Training Programme in Appendix Four, the Guidance and Responsibilities in Appendix Five, and the BSB's Professional Statement before they commence acting as the pupil's supervisor.
  - 9.1.4. Must discharge the obligations and functions set out by the BSB from time to time.
  - 9.1.5. Must ensure that their pupil does work for a wide cross-section of Chambers.
  - 9.1.6. Will have a copy of the BSB Professional Statement and will refer to it to ensure, as far as is possible, that the pupil carries out work and attends hearings in accordance with its requirements and, in particular, will ensure that the pupil:

- 9.1.6.1. has an understanding and appreciation of the operation in practice of rules of conduct and etiquette at the Bar and a working knowledge and understanding of the BSB Handbook and Code of Conduct;
- 9.1.6.2. has gained sufficient practical experience of advocacy to be able to prepare and present a case competently;
- 9.1.6.3. has gained sufficient practical experience of conferences and negotiation to be able to conduct the same competently. Pupils should be instructed on how to prepare for conferences and negotiations, including the making and retaining of a full and accurate note of all conferences. Pupils and pupil supervisors should discuss in advance what is expected from pupils in conference;
- 9.1.6.4. has achieved the BSB standard for drafting, paperwork, and legal research. Pupils must practise the necessary skills by producing their own work and receiving constructive feedback from their pupil supervisors.
- 9.1.7. Will ensure that their pupil has been provided with and completes or updates the specialist checklists in the form approved by Chambers in relation to competences in the BSB Professional Statement.
- 9.1.8. Where a pupil supervisor will be attending court or advising in conference outside London, will arrange for their pupil to attend at the expense of Chambers if (but only if) the pupil supervisor considers that the expense is justified by the benefit which the pupil will derive from attending. Where, however, the expense of the pupil's attendance exceeds £150 the excess will not be borne by Chambers.
- 9.1.9. Where a pupil supervisor will be away from Chambers for an extended period (two or more days) during which their pupil will remain in Chambers, will arrange for another member of Chambers to look after the pupil.
- 9.1.10. Will ensure that their pupil is reimbursed for expenses properly incurred during pupillage.
- 9.1.11. Will give the pupil regular objective feedback as set out in paragraph 7.5 and in Appendix Five. Where omissions and shortcomings are identified, steps

must be taken to overcome any deficiency. These should be identified as early as possible through the feedback and appraisal of pupils, so that corrections can be made before the end of the training period. If the defined standards and competences are not met, the pupil supervisor must not sign off the pupil as having completed the stage of pupillage.

# 10. COMPULSORY COURSES AND OTHER TRAINING

During pupillage, all pupils must attend certain compulsory courses and pass certain assessments to build on training received during the vocational component and to supplement work-based learning during pupillage, so that pupils can meet the competences in the BSB Professional Statement. Chambers encourages pupils to attend in-house training courses where available and may on occasion also pay for some external courses where it is felt they are suitable for pupils. These external courses are solely at Chambers' discretion and should be approved by a member of the Management Committee who will consult the pupillage committee where needed.

Pupils must sign up for all courses and assessments that they are required to take.

# 10.1. BSB Requirements

### 10.1.1. Advocacy Course

This course must be undertaken during the non-practising stage of pupillage (i.e. during the first six).

The non-practising stage will not be certified until this course has been satisfactorily completed.

The fundamental objective of pupillage is that the pupil should develop and practise the skills necessary to be an effective advocate.

This course provides training on core aspects of advocacy, including case preparation, witness handling, speeches, and pleas in mitigation in accordance with the Curriculum and Assessment Strategy.

10.1.2 There is no paragraph 10.1.2

# 10.1.3 Professional Ethics

Pupils must undertake this assessment after three months of pupillage. It is chambers' preference that this course is undertaken during the second three months of pupillage.

# 10.1.4 Negotiation skills course and assessment

It will be a requirement that the Negotiation Skills course and assessment must be satisfactorily completed in the non-practising period of pupillage. If the course is not satisfactorily completed, the non-practising period of pupillage can be extended.

# 11 MISCELLANEOUS - PUPILLAGE

- 11.1. No member of Chambers is to take a pupil (or mini-pupil) who has not been selected by the pupillage (or mini-pupillage) committee in accordance with Chambers' Selection Policy save with the express written consent of the Head of Chambers.
- 11.2. The pupil supervisor's permission should <u>always</u> be sought before other members of Chambers ask a pupil to do a piece of work for them, or to accompany them to Court. Where a member is temporarily supervising a pupil the permission of the member who is temporarily supervising the pupil should be sought by other members of Chambers who wish to give work to the pupil, or to take the pupil to Court with them, during the pupil supervisor's absence. This will avoid the twin problems of:
  - 11.2.1. pupils being burdened with unmanageable amounts of work from several sources at the same time: and
  - 11.2.2. pupil supervisors suffering the annoyance of unauthorised "borrowings" of their pupils.
- 11.3. Chambers will reimburse pupils for the fees paid by them for attending compulsory courses during their pupillage. Pupils who wish to attend such courses will be allowed time off to do so.
- 11.4. Members of Chambers should remind pupil supervisors, where appropriate, that pupils have not done work for them.
- 11.5. In the event of dissolution of Chambers, the pupil will be notified in good time and every reasonable effort will be made to ensure that an alternative pupillage can be obtained.

11.6. Pupils are covered by their pupil supervisor's Bar Mutual Indemnity Fund (BMIF) insurance, for work performed during pupillage. Once pupillage ceases, they must apply immediately to the BMIF for their own cover for legal services offered in self-employed practice.

### 12. ABSENCE

- 12.1. Pupils will be entitled to 22 working days holiday per annum, in addition to Bank Holidays.
- 12.2. If a pupil is absent for any longer period than that set out above, for example due to illness, bereavement, or maternity / paternity / parental leave, Chambers will decide whether the period of pupillage should be extended, in particular to enable the pupil to meet the competences in the Professional Statement. Chambers will make its decision with the benefit of a recommendation from the pupillage committee as to the appropriate course of action.
  - If the pupillage is to be extended, Chambers will notify the BSB of the revised completion date by completing the Notification of material change in pupillage form.
- 12.3. Where the pupillage is extended, the pupillage committee and the pupil supervisor will set clear and measurable objectives as to what is expected of the pupil during the extended period.
- 12.4. Pupils must apply to the BSB for dispensation if they need to take a substantial break from pupillage and extending the pupillage would not be appropriate.
- 12.5. Maternity / parental leave: pupils will be entitled to take any statutory periods of leave in force at the relevant time and will be subject to the Chambers Members and Pupils Parental Leave Policy.

### 13. SICKNESS DURING ANNUAL LEAVE

Where sickness occurs during paid annual leave the normal sickness notification procedures should be followed. The pupil should inform their pupil supervisor of sickness on the day. The pupil may need to supply medical certificates covering the period of leave if they wish to be credited with additional annual leave. Should this be the case, the pupil supervisor will liaise with the Management Committee before any leave is credited back to the pupil.

# 14. THE GENERAL DATA PROTECTION REGULATION (GDPR) AND THE DATA PROTECTION ACT 2018

Every individual self-employed practising barrister is a data controller. All self-employed barristers, including practising pupils, and Chambers are required to comply with the GDPR and the Act if they process personal data.

### 15. TAXATION

Pupils should become familiar with tax requirements, including self-assessment, VAT and National Insurance, for self-employed practice. The Bar Council provides a <u>taxation handbook</u> on its ethics website.

### 16. EQUALITY AND DIVERSITY

- 16.1. Chambers adopts and implements the equality and diversity guidelines recommended in the Equality and Diversity Provisions of the Code of Conduct and, in particular
  - 16.1.1. Chambers does not discriminate unlawfully, directly, or indirectly, against, victimise or harass any person on grounds of race, colour, ethnic or national origin, nationality, citizenship, sex, gender re-assignment, sexual orientation, marital or civil. partnership status, disability, religion or belief, or pregnancy and maternity.
  - 16.1.2 Chambers does not discriminate on any other grounds lawful or unlawful.
  - 16.1.3 Pupils are provided with Chambers' Equality and Diversity Policy.

# 17. RECORD KEEPING

Chambers will maintain the following data and records; we may be required to provide them to the BSB for supervision purposes or research. These records are kept by the pupillage committee for a period of at least five years after the completion of the pupillage and will be destroyed afterwards:

- Training records for pupils.
- Training outcomes for pupils.
- Training records for pupil supervisors.
- Training records for recruitment panel members.

- · Pupillage agreements.
- Diversity data for pupils (this might compare applications, interviews offered, pupillage
  offers made, places taken up and progression to tenancy/employment) and the action that
  has been taken following review of data.
- Complaints/grievances with analysis and action taken.
- · Pupil feedback, analysis and action taken.
- Policies related to pupillage.

Recruitment records of all applicants are kept by the pupillage committee for a period of up to two years after the completion of the recruitment process.

### 18. COMPLAINTS AND GRIEVANCES AND DISCIPLINARY PROCEDURES

- 18.1. Pupils will be provided with a copy of the Chambers' Grievance Policy. Any Grievances must be addressed in accordance with that Policy. Disciplinary Procedures are set out in the Chambers' Disciplinary Policy which has been provided.
- 18.2 Chambers is committed to providing a working environment in which everyone is treated fairly and properly. No one will suffer detriment if they raise a complaint or grievance in good faith under this procedure.

### 19. RECRUITMENT OF NEW TENANTS

- 18.1. The decision whether to recruit a pupil as a new tenant will be taken in accordance with the Chambers' Recruitment Policy, and the Constitution. Tenants join Chambers on the express invitation of the Head of Chambers.
- 19.2. The criteria for the Recruitment of Starting Tenants will be those set out in the Recruitment Policy. All offers of a seat in Chambers will only be made by the Head of Chambers following the provisions of the Chambers' Constitution.
- 19.3 The Management Committee requests feedback from all members of Chambers and in particular it is important to receive feedback from the supervisors and the clerks and where possible from clients. This information is collated and provided to the pupillage committee. The pupillage committee then provides a recommendation to

the Management Committee. The Management Committee then reports to and consults with the Head of Chambers. Thereafter if it is envisaged that an offer of a seat will be made a wide process of consultation takes place in Chambers, often by email. It is the hope of the Head of Chambers and members of Chambers that this process should be completed by the end of July at the latest. The pupil will be notified in writing (or in person) of the decision shortly thereafter.

- 19.3. Chambers will use all reasonable endeavours to advise and assist pupils not taken on as tenants and, in particular, enquiries should be made as to which member of Chambers would make the most appropriate referee.
- 19.4 Unsuccessful applicants will not be allowed to squat in Chambers.

# GENERAL.

20. The Head of Chambers in consultation with the Management Committee may vary or suspend or amend any or all provisions of this or any other policy if, when and as he sees fit.

# **CRITERIA FOR ASSESSING PUPILLAGE APPLICATION FORMS**

Intellectual ability		
(a) Academic record	(1-10)	
For academic achievement, we award points as follows:		
<ul> <li>Undergraduate degree - 1st – 8 points</li> </ul>		
<ul> <li>Undergraduate degree 2:1 – 6 points</li> </ul>		
<ul> <li>Undergraduate degree 2:2 – 4 points</li> </ul>		
<ul> <li>Postgraduate degree 1st/Distinction – 2 points OR</li> </ul>		
Undertaking postgraduate degree/completed		
postgraduate degree Pass/merit – 1 point		
Extenuating circumstances affecting academic		
performance – up to 2 points		
Evidence of exceptional academic ability – up to 2		
points.		
Credit is not given for more than one undergraduate		
degree (the highest only will count). We draw no		
distinction between UK and non-UK degrees.		
Candidates scoring fewer than 7 points under this heading		
may not progress to interview.		
	(1.0)	
(b) Quality of written expression	(1-8)	
	4	
Responsibility and maturity (evidenced by extra- curricular	(1-6)	
activities)		

Advocacy and/or public speaking (experience and achievement)	(1-6)	
Interest in Chancery Bar and work done by Chambers	(1-5)	
Care and attention in preparation of application	(1-5)	
Total:  Candidates scoring fewer than 30 points will not progress to interview.	maximum 40	

# **SELECT FOR INTERVIEW?**

YES / NO

# **FIRST ROUND INTERVIEW CRITERIA**

	maximum 20	
good judgment)		
Other professional attributes (e.g. flexibility, commerciality,	(1-6)	
Ability to analyse and assimilate information	(1-7)	
Oral communication skills		
	(1-7)	

**SELECT FOR SECOND ROUND?** 

YES / NO

# **SECOND ROUND INTERVIEW CRITERIA**

	I	
Ability to present written work clearly and accurately	(1 – 8)	
Ability to assimilate and analyse information	(1 – 8)	
Oral communication skills	(1 – 8)	
Legal analytical skills	(1 – 5)	
(a) Written problem (b) Mock conference	(1 – 5)	
Ability to engage appropriately with colleagues, clients and solicitors	(1-8)	
Commercial practicality		
(a) Written problem	(1 – 4)	
(b) Mock conference/application	(1-4)	
Total	maximum 50	

OVERALL TOTAL	maximum 110	

# FINAL INTERVIEW REPORT TO HEAD OF CHAMBERS AND MANAGEMENT COMMITTEE

### APPENDIX TWO -

### **EQUALITY AND DIVERSITY IN PUPILLAGE SELECTION POLICY**

# 1. PRELIMINARY

- 1.1 This Statement supplements the Chambers' diversity policy and elaborates its application where pupillage is concerned. It is intended to set out Chambers' policy on issues of equality and diversity.
- 1.4 The primary responsibility for ensuring that this Statement of Policy (as amended from time to time) is implemented is that of the Equality and Diversity Officer, but Chambers commits itself to supporting them by taking all reasonable steps recommended for the purpose of implementation.

### RECRUITMENT: PUPILS AND TENANTS

### **Advertisement**

- 2.1 It is Chambers' current policy to advertise pupillages through the Bar Council's online system although recruitment is carried out directly. All vacancies for pupillage will continue to be advertised in compliance with the requirements of the Code of Conduct and advertisements will include:
  - 2.1.1 encouragement of applications from groups which are under-represented in Chambers,
  - 2.1.2 a statement of compliance with the Rules and Guidance,
  - 2.1.3 a statement indicating willingness to make reasonable adjustments for disabled candidates.
- 2.2 If it is proposed to recruit a tenant from outside Chambers, the vacancy will be advertised.

### **Applications**

- 2.3 Applicants are required to submit a copy of their CV along with a covering letter and the relevant application form (if any).
- 2.4 Chambers will make such reasonable adjustments as may be required to enable a candidate with a disability to make an application.

# Selection processes: pupillage

- 2.5 Chambers has a three-stage process to discover the candidate best suited in respect of 11 separate categories. These are (a) Intellectual Ability; (b) Analytical ability; (c) Effective communication skills; (d) Strong motivation for a career at the Bar; (e) Strong motivation for a career at Three Stone Chambers; (f) Advocacy skills; (g) Interpersonal skills; (h) Resilience; (i) Ability to work independently; (j) ability to work as part of a team; and (k) Drive and determination.
- 2.6 There will be an initial sift of applications using the criteria set out in using the first check list above.

- 2.7 From this a short- list will be prepared and the applicants are invited to attend a 15 minute first round interview.
- 2.8. A further short list is then prepared of approximately 8-10 applicants who will be invited to complete a one-day mini pupillage, during the course of which they will be interviewed. At both interview stages, further selection criteria are applied, which are also set out in the Pupillage Policy Statement. The Pupillage Committee will continue to apply selection criteria which are made generally available in advance by means of the Chambers' website.
- 2.9. The Pupillage Committee will recommend candidates for pupillage to the Head of Chambers and the Management Committee who will review the recommendation of the Pupillage Committee and the results of the interviews against the overall criteria referred to above.
- 2.9a The Pupillage Committee currently consists of four members of Chambers and the Senior Clerk. The committee's size may vary, but it will always include at least three members of Chambers, and will, as far as possible, reflect an appropriate range of age, gender and social, racial, or cultural background.
- 2.10 All short-listing decisions will continue to be made by the whole committee, each member of which will independently assess candidates' application forms by reference to the criteria before the committee discusses its decision.
- 2.11 The Pupillage Committee will continue to agree on the general content of any mini-pupillage undertaken as part of a selection process and in particular will ensure that applicants are given an opportunity to demonstrate skills in written and oral work through a common exercise or exercises of a nature which is fair to all applicants. The course of the interview will be planned in advance to ensure that the same broad topics are covered in each case and each interview will last no more than one hour. Where possible, every member of the Pupillage Committee will attend each interview. Each interviewer will independently assess the applicant by reference to the selection criteria before any general discussion.
- 2.12 All selection decisions will continue to be made by all members of the relevant committees.

# **Training**

2.13 The members of the Pupillage Committee will be required to ensure that they are trained in accordance with the requirements of the Code of Conduct.

# **Record-keeping**

2.14 Records of all applicants and documentation relating to the pupillage selection decision are kept by the pupillage committee for a period of up to two years after the completion of the recruitment process.

### Monitoring and review

- 2.15 Chambers will review its current monitoring arrangements to ensure that they are suitable to enable preparation of statistics relating to the gender, race, disability, and age of those applying for pupillage, being short-listed, and being recruited.
- 2.16 The statistics referred to in paragraph 2.15 will be reviewed by the Equality and Diversity Officer in conjunction with the Equality and Diversity Committee and the Pupillage Committee to identify any necessary amendments to:
  - 2.16.1 Chambers' practice in relation to advertisements,
  - 2.16.2 Chambers' short-listing selection criteria,
  - 2.16.3 Chambers' interview selection criteria,
  - 2.16.4 the practices and procedures of the Pupillage Committee (including practices and procedures which may reflect prejudices, whether conscious or unconscious),
  - 2.16.5 any other aspect of pupillage recruitment which may seem to require amendment.

# Private arrangements for pupillage

2.17 Members will not take pupils by private arrangement.

# Mini-Pupillages

- 2.18 Chambers will continue to offer non-assessed mini-pupillages of 2 or 3 days at times when mini-pupils can conveniently be accommodated. Preference will be given to applicants who are at least in the second or third year of a university law degree or undertaking the Graduate Diploma in Law.
- 2.19 There is no paragraph 2.19.
- 2.20 Chambers will continue to maintain and keep under review a Mini-Pupillage Policy Statement which will be available generally through the Chambers' website.
- 2.21 Chambers' monitoring arrangements will be reviewed to ensure that effective monitoring of decisions relating to mini-pupillage is possible and is undertaken.

### FAIR ACCESS TO WORK

### Work allocation

- 3.1 The Senior Clerk will report to the Treasurer monthly on all pieces of work received, their allocation and the reason for their allocation.
- 3.2 The Treasurer will review the form of the current data analysis of work done, type of work, fees earned, fees received and solicitors instructing in order to ensure the effective monitoring of the allocation of work received and, in particular, the allocation of work which is not addressed to a particular member.
- 3.3 The results of the data analysis referred to in paragraph 3.2 will be reviewed by the Management Committee, which will ensure that the clerks make any necessary amendments in respect of:
  - 3.3.1 any unfair practices (whether or not consciously adopted) in work allocation by the clerks,
  - 3.3.2 any unfair consequences resulting from briefing practices adopted by instructing solicitor,
  - 3.3.3 any unfair consequences resulting from practices relating to the delivery of instructions or briefs adopted by instructing solicitors,
  - 3.3.4 any other matter relating to the allocation of work which may seem to require amendment.
- 3.4 The Head of Chambers will ensure that the clerks are aware that unfairness in work allocation is a serious matter and that a solicitor who insists on directly discriminatory allocation of work should be reported to their disciplinary body. The work in such a case will be refused.
- 3.5 The clerks will be encouraged to undergo equality and diversity training. At present all clerks have undertaken such training.

APPENDIX THREE -

TRAINING PROGRAMME FOR PUPILS

Overview of training

The pupillage programme has been designed to produce barristers who possess the key knowledge, skills and attributes set out in the BSB Professional Statement for Barristers (the "Professional Statement"). These standards are viewed as minimum standards and pupils in Chambers are expected to exceed them. We regard pupillage as a period of training primarily before a pupil enters independent private practice at the self-employed Bar. We aim to inculcate values of the pursuit of excellence, the highest ethical standards, the best traditions of the Bar and unremitting commitment to the duty to the Court and to discharge obligations to clients to the highest possible standards irrespective of fees, time and other commitments. We do not during pupillage expect that you will need to work the same hours as your supervisors and we believe that training can only be satisfactorily delivered in a reasonable, proportionate and friendly environment.

Where we can, we adopt a flexible approach to training. This means we tailor training to help each pupil develop the type of practice that they wish to have, whilst ensuring that the commercial needs of Chambers as a whole continue to be met and that each pupil gains proper experience of the main practice areas within Chambers.

Training is not a tick-box exercise but rather a constant subjective assessment of each pupil's skills and a tailoring of support to develop those skills most effectively.

The written record of training consists of the following:

- a. A Pupillage Diary, which should be maintained by the pupil and reviewed regularly with the supervisor;
- b. 3-Month Review Forms, incorporating the checklists, which should be completed by each of the pupil's four supervisors at the end of each period of supervision;
- c. Formal feedback on an advocacy exercise before a panel of members;
- d. At least one piece of formally assessed written work where full feedback will be provided from a more senior barrister who is not a supervisor. If there is more than one pupil at any time the same piece of work

will be provided to each, if Chambers considers there is a need to do so (for example because Chambers is only able to offer one tenancy in a given year);

- e. Written feedback forms (at least one piece of work whilst with a supervisor should have written feedback);
- f. Assessments against competencies at the end of the non-practising six months and at the end of pupillage, to be completed by supervisors alongside the corresponding reviews mentioned in b. above.

### **Training Structure**

#### General

During the first few days of pupillage, pupils will be given a full induction. Pupils will be provided with IT training as well as general familiarisation with Chambers' policies and procedures, including expenses forms, the Equality & Diversity, Sickness & Absence and Grievance & Disciplinary policies. Efforts will also be made to introduce pupils to as many staff members and members of Chambers as possible during their initial days of pupillage.

Thereafter, the day-to-day activities of pupils will be determined by their pupil supervisors and will vary depending on supervisors' practices, external factors, and any identified training needs.

Pupils are expected to take part in Chambers' marketing and business development activities from the beginning of their pupillage. These are good opportunities to get to know other members and staff and to create relationships with future clients.

First six pupils can expect a mix of shadowing their supervisors and other barristers at court as well as undertaking research and written work on behalf of those individuals.

Towards the end of their first six months, pupils will undertake an advocacy exercise. A pupil will be given sufficient time to prepare a skeleton argument and will then appear in front of a panel of three or more members of Chambers to present oral arguments. As an example, past exercises have involved making an application for summary judgment.

Subject to satisfactory completion of the first six months, passing the oral advocacy exercise and the approval of their supervisor, during their second six pupils will begin to take on their own work. All pupils are expected to become outstanding advocates. During the second six, pupils will also continue to carry out work for their supervisor and other members of Chambers. A careful balance will be maintained between work done for a supervisor and pupils undertaking their own work, in order to ensure that pupils continue to acquire detailed knowledge of specialist areas of law with the benefit of supervision. Second six pupils will not be required to take on more than two pieces of work per week on their own account.

Pupils will also have access to training sessions and events run internally and externally by Chambers. Attendance is not required but is encouraged as such events will further a pupil's education generally and will also provide invaluable networking opportunities with professional clients. There are also a number of other training courses provided by the Chancery Bar Association and the Inns of Court which pupils are likely to find very useful. On occasion, Chambers may ask pupils to attend a specialist training course on a particular area. The cost of such attendance will be met by Chambers.

# Compulsory training courses

All pupils must undertake the compulsory advocacy course, which must be successfully completed prior to starting the practising period (and, when mandated, the compulsory negotiation course). The pupil must pass the compulsory professional ethics examination at the appropriate stage as mandated by the BSB.

Chambers will cover the cost of these courses. If, however, a pupil fails the course or any examination the cost of any resits will have to be borne by the pupil.

### **Assessments**

Pupils are expected to take an active part in their own professional development. Self-assessment and taking responsibility for one's own professional competence and development are key aspects of life at the Bar.

To this end, pupils are required to keep a Pupillage Diary recording work completed, any learning points identified and how this work has helped the pupil assess themselves against the Professional Statement. A flexible approach is taken to the Pupillage Diary requirement; the aim is not to go through the motions of recording every piece of work completed and straining to connect this to the Professional Statement. A pupil's Pupillage Diary should be a valuable learning tool, not an administrative exercise.

Pupils should bear in mind that the Bar is a self-employed profession engaged in providing legal services of the highest possible standard to clients. To this end they should also appreciate that at the conclusion of their pupillage they will enter full time self-employed private practice at the English Bar. When in practice they will need to subordinate their personal affairs to the discharge of their duty to the best of their ability to their client and to the Court. Self-reflection should always have this in mind.

An assessment against the competences should be carried out at the end of the first six months and at the end of pupillage. This will be carried out by the pupil and their current supervisor, with the involvement of the Pupillage Committee, as necessary.

Pupils are also encouraged to be proactive in seeking feedback from other members and from clerks.

If, for an extended period of time, the pupil takes sickness leave or is otherwise absent from training and there is a risk that the competences in the BSB's Professional Statement will not be met, the pupil's supervisors and the Pupillage Committee will assess the pupil's learning to date and decide what course of action needs to be taken. The courses of action could include an extension of pupillage, a change of supervisor, or the adoption of a more flexible approach to learning.

### **Pupillage Supervision**

Pupil supervisors will not endorse any pupil's application for either a provisional or a full practising certificate if they are not entirely satisfied that the pupil has met the required standards as set out in that document.

During the course of pupillage, pupils will have to complete one assessed piece of written work for a more senior barrister who is not their supervisor. Each supervisor will also provide written feedback on at least one piece of written work. After each assessed piece of work, pupils will be provided with a formal feedback sheet to form part of their training record. Pupils should not take a different approach to assessments than they do to any other piece of work they are asked to perform; every task should be performed to the best of the pupil's ability.

Advocacy skills will be developed continually through shadowing, discussing cases with supervisors and other members, a formal advocacy exercise and gaining experience in court during the practising second six. If a pupil is deemed not to meet a sufficient standard in advocacy by the end of their first six (as assessed in the advocacy exercise), their supervisor will not endorse a provisional practising certificate. Should that be the case, the pupil, their current supervisor, and the Pupillage Committee will together determine the most appropriate course of action to enable the pupil to meet the required standard.

Each pupil will be required to undertake a detailed formal review with their pupil supervisor every three months. At each review, pupils will be assessed against the Professional Statement and the pupillage checklists. The supervisor will identify and record areas for improvement. Pupils will be provided with a written record of this assessment and this will form part of a pupil's training record. Each review will be passed on to the next supervisor. The reviews are also an opportunity for pupils to give feedback to their supervisors and to request that greater or lesser focus be placed on any particular training need(s).

# **Pupil Supervisors**

In order to ensure pupils gain experience of as wide a range of work types and legal specialisms as possible, pupils will ordinarily change supervisors every three months. In general, pupils will be informed who their first supervisor will be at least two weeks prior to the commencement of their pupillage.

Pupil supervisors will be responsible for the day-to-day training and development of their pupil as well as endorsing their pupil's applications for provisional and full practising certificates at the appropriate times.

All pupils will be subject to continuous assessment by their supervisors, having regard to the Professional Statement. All efforts will be made to ensure that feedback is provided to pupils in a fair, timely and objective manner.

All pupil supervisors are trained in accordance with the outcomes and frequency specified by the Bar

Standards Board in the Bar Training Manual. Pupil supervisors will ensure they comply with Chambers' reasonable adjustments policy in providing supervision and feedback.

#### Mentors

At the beginning of pupillage each pupil will be given details of who their mentor will be. These are generally the most junior members of Chambers who have previously undertaken pupillage at these Chambers. The aim of a mentor is to provide supportive and non-judgmental mentoring to assist pupils in obtaining the best pupillage experience possible.

# Quality assurance of training programme

It is very important to us that we provide an excellent pupillage experience. Feedback is sought from pupils on an ongoing basis through a series of meetings with Chair of the Pupillage Committee. Any areas of concern, including feedback on supervisors, are reported to the Pupillage Committee who will take appropriate steps to address any such concerns.

Chambers' culture is one which encourages continuous professional improvement. This training programme is reviewed on an annual basis by the Pupillage Committee and adjustments are made as needed. Regular monitoring is also carried out by the Pupillage Committee to ensure this programme is being implemented fully and correctly. Chambers is aware of the need to be flexible. Feedback on improvements regarding flexibility is therefore particularly encouraged.

APPENDIX FOUR -

PUPIL SUPERVISORS: GUIDANCE AND RESPONSIBILITIES

Pupil Supervisors: Guidance and Responsibilities

This document contains information about the role and responsibilities of pupil supervisors at Radcliffe

Chambers.

Registered pupil supervisors

The role of the pupil supervisor is to provide the pupil with:

Training in accordance with Chambers' Training Programme for Pupils, in a way which meets the

competences in the BSB's Professional Statement and in compliance with the BSB's Curriculum and

Assessment Strategy; and

All necessary assistance in complying with their regulatory obligations e.g. registering their pupillage with

the BSB, applying for any necessary waivers, and obtaining practising certificates.

In carrying out this role, the pupil supervisor will have all necessary and appropriate support from Chambers.

Pupil supervisor contact time with pupils will vary, but high standards of supervision must be maintained and

must be capable of being demonstrated to the BSB. The Pupillage Committee will monitor supervision

standards on a regular basis.

Any change in pupil supervision arrangements previously authorised must be reported to and authorised by

the BSB.

There are no longer eligibility criteria for pupil supervisors except that a pupil supervisor must normally be a

practising barrister.

All pupil supervisors must ensure that they have received (and continue to receive) appropriate training that

meets the outcomes specified by the BSB.

When considering the suitability and competence of a member of chambers to act as a pupil supervisor,

Chambers will check the disciplinary record of the member with reference to the Barristers' Register on the BSB

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website and seek a declaration from the member that no other disciplinary action is in progress. Other considerations include:

- Professional experience;
- Nature of the member's practice and whether it offers appropriate learning opportunities;
- The time the member can devote to a pupil;
- Aptitude to create an appropriate learning environment; and
- Competence to provide effective feedback.

If any matter which appears to affect the suitability of a member to act as a pupil supervisor comes to the notice of the BSB, the BSB's policy is to assess the matter in accordance with its risk-based approach to regulation and take appropriate action. The BSB may designate a barrister as unsuitable to be a pupil supervisor.

Ratio of pupils to pupil supervisor

Supervisors may supervise up to two pupils at the same time, one non-practising and one practising.

It is the policy of Chambers, however, for pupil supervisors to have no more than one pupil for a period of three months in any two-year period. This may be more frequent under exceptional circumstances e.g. lack of appropriately trained supervisors.

Training of pupil supervisors

Chambers will ensure that all pupil supervisors receive appropriate training before supervising a pupil and continue to receive appropriate training. Chambers will maintain training records. Members should also record any such training on their Continuing Professional Development records.

Formal training can only cover a certain amount of ground in one session, so pupil supervisors should combine this formal training with a combination of (i) self-study (e.g. reading the relevant BSB documentation); (ii) familiarisation with Chambers' policies and procedures relevant to pupillage; and (iii) attending third party training (where not available in-house).

Appropriate further training can be on subjects such as equality, diversity, and inclusion, bullying and harassment, learning styles, coaching skills, giving effective feedback and wellbeing.

Pupil supervisors who do not have prior experience will be provided with additional support from Chambers to ensure they are able to deliver effective feedback to pupils.

Refresher training is mandatory every five years, and after three years if the barrister has not been a pupil supervisor during that time.

Under the CPD rules barristers have an obligation to reflect on their competence as a pupil supervisor or as a member of the pupillage committee, or equivalent.

Outcomes for training pupil supervisors

# The regulatory requirements

The pupil supervisor will:

- Be familiar with the current version of the following BSB documents (all of which are available on the BSB website) and the relevant regulatory requirements:
  - The BSB Handbook;
  - The Bar Qualification Manual;
  - The Authorisation Framework and supporting guidance for pupillage; ② The Professional Statement, Threshold Standard and Competences; and
  - The Curriculum and Assessment Strategy.
- Be familiar with and able to apply the Equality and Diversity Rules (contained in the BSB Handbook) and the Equality Act.
- Understand the BSB's administrative procedures for registering pupillage and applying for the Provisional and Full Practising Certificates.
- Understand the role of a pupil supervisor.

# Chambers' pupillage training programme, policies, and processes

The pupil supervisor will:

- Be familiar with Chambers' Training Programme for Pupils and able to apply it, in order to assist pupils in meeting the competences in the Professional Statement to the threshold standard.
- Be familiar with Chambers' policies, procedures, and standard documentation in relation to pupillage, and able to apply them. These documents are located on the Chambers Intranet. These documents will

be located on the Chambers' Intranet and will be updated from time to time. For example training materials will be changed regularly to avoid plagiarism.

# Effectiveness as a pupil supervisor

The pupil supervisor will:

- Understand what makes an effective supervisor, have knowledge and experience of how pupils learn
  effectively and be able to assess the learning needs/learning styles of their individual pupils.
- Understand the time commitment that is required to be effective as a pupil supervisor.
- Understand the behaviours which constitute unacceptable supervision practices.
- Be competent to conduct assessment of their pupils' progress in meeting the required competences
  and to conduct effective one-to-one appraisal and feedback to pupils throughout the duration of the
  supervision period.
- Be able to identify and act on their own development needs as an effective pupil supervisor.

### **Pupil wellbeing**

The pupil supervisor will:

- Be familiar with and be able to apply Chambers' policies and support mechanisms to enable all pupils to complete their training and to support those who experience difficulties.
- Be able to direct pupils to where they can find help externally if needed.
- Be able to create a positive relationship with pupils, such that they feel comfortable to speak up without fear of intimidation or unfair reproof.

Registration of pupil supervisors

Pupils must have a named pupil supervisor who is responsible for confirming that the non-practising and the practising period of pupillage have each been satisfactorily completed.

The supervisor responsible for signing off each period of pupillage must be registered in relation to that pupillage by the time the pupillage is registered (or notified promptly if there is a change in circumstances) and trained to the required standards.

Approved by	Date
Management Committee	July 2025
Pupillage Committee	August 2025